**AML QUESTIONNAIRE FOR LEGAL PERSONS AND TRADING NATURAL PERSONS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Provider:** | | | | | | | | | | | | | |
| **Name:** | CYRRUS FX, a.s. | | | |  |  | | | | | | | |
| **Business ID No:** | 288 80 293 | | | | **Registered office:** | Na Florenci 2116/15, Nové Město, 110 00 Praha 1 | | | | | | | |
| **Service recipient:** | | | | | | | | | | | | | |
| **Name:** |  | | | | **\*Tax domicile:** | | | |  | | | | |
| **Business ID No:** |  | | | | **Subject of trading:** | | | |  | | | | |
| **\*Registered office:** |  | | | | **True subject of activity:** | | | |  | | | | |
| **\*Contact address:** |  | | | | **\*High-risk activity:** | | | |  | | | |  |
| **\*Country of origin:** |  | | | | **Legal form:** | | | |  | | | | |
| Note: country of origin means:   1. of a natural persons each state of which this person is a national, and at the same time all other states in which he is registered for permanent or other residence, or in which he resides for more than 1 year. 2. of a natural person doing business, the state according to point 1, and at the same time the state in which it has its registered office, 3. of a legal entities, the state in which it has its seat, and at the same time all states in which it has a branch or establishment, 4. of the trust, the state under whose law the trust fund is established and each state that is the country of origin of its trustee according to points 1 to 3. | | | | | | | | | | | | | |
| **Represented by:** | | | | | | | | | | | | | |
| **First name and surname:** |  | **Position:** | |  | | | **Date of birth:** | | | |  | | |
| **ID card/passport number:** |  | **Valid till:** | |  | | | **Issued by:** | | | |  | | |
| **First name and surname:** |  | **Position:** | |  | | | **Date of birth:** | | | |  | | |
| **ID card/passport number:** |  | **Valid till:** | |  | | | **Issued by:** | | | |  | | |
| **Statutory body:** | | | | | | | | | | | | | |
| **Type:** |  | **Type:** | |  | | | **Type:** | | | |  | | |
| **First name and surname:** |  | **First name and surname:** | |  | | | **First name and surname:** | | | |  | | |
| **Date of birth:** |  | **Date of birth:** | |  | | | **Date of birth:** | | | |  | | |
| **Permanent home address:** |  | **Permanent home address:** | |  | | | **Permanent home address:** | | | |  | | |
| **Citizenship:** |  | **Citizenship:** | |  | | | **Citizenship:** | | | |  | | |
| **\*Country of origin:** |  | **\*Country of origin:** | |  | | | **\*Country of origin:** | | | |  | | |
| **\*PEP:** |  | **\*PEP:** | |  | | | **\*PEP:** | | | |  | | |
| Note: Politically exposed person (PEP), means, according to Act No 253/2008 Coll., on Certain Measures against Money Laundering and Terrorism Financing (ZAML): a natural person who currently holds, or in the past held (in the last 12 months), a prominent public function with nation-wide or regional significance and also persons connected to such a person. These are close person, partner or beneficial owner of the same subject, person who is in some other close trading relationship with this person or who is the beneficial owner of a subject created for the benefit of that a person. Examples of politically exposed person are in particular head of state, prime minister, head of central body of state administration and his/her deputy (deputy, secretary of state), member of parliament, member of governing body of political party, leading representative of local government body, supreme court judge, constitutional court judge or judge of other supreme judicial body against the rulings of which in general there is no remedy, with rare exceptions, member of banking board of central bank, senior officer in armed forces or corps, member or deputy of member (if a legal person) of statutory body of a trading corporation controlled by the state, ambassador or head of diplomatic mission or natural person who performs or performed a similar function in another state, in a body of the European Union or international organization. | | | | | | | | | | | | | |
| **Beneficial owner:** | | | | | | | | | | | | | |
| **\*Can the beneficial owner be identified?** | | | | | **Yes** | | | **No** | | | | | |
| **First name and surname:** |  | **First name and surname:** | |  | | | **First name and surname:** | | | |  | | |
| **Date of birth:** |  | **Date of birth:** | |  | | | **Date of birth:** | | | |  | | |
| **Permanent home address:** |  | **Permanent home address:** | |  | | | **Permanent home address:** | | | |  | | |
| **Citizenship:** |  | **Citizenship:** | |  | | | **Citizenship:** | | | |  | | |
| **\*Country of origin:** |  | **\*Country of origin:** | |  | | | **\*Country of origin:** | | | |  | | |
| **\*PEP:** |  | **\*PEP:** | |  | | | **\*PEP:** | | | |  | | |
| **Relationship to the service recipient\*:** |  | **Relationship to the service recipient\*:** | |  | | | **Relationship to the service recipient\*:** | | | |  | | |
| + indicate whether the beneficial owner is a shareholder, a member of the statutory body, ultimate beneficiary, a founder of the institute or has any other relationship to the company see note below  Note: According to Act No. 253/2008 Coll., on Certain Measures against the Legalization of Proceeds of Crime and Terrorist Financing (ZAML), the beneficial owner is the natural person for whom the transaction is carried out and the beneficial owner is:   * the natural person for whom the trade is carried out and the beneficial owner according to Act No. 37/2021 Coll., on the registration of beneficial owners (ZESM), i.e:   1. any natural person who ultimately owns or controls a legal person or legal arrangement   2. in the case of a corporation, any natural person who, directly or indirectly through another person or legal arrangement:      1. has an interest in the corporation or an interest in the voting power of the corporation of more than 25%,      2. has a right to a share in the profits, other own resources or liquidating balance of more than 25%,      3. exercises a controlling influence in a corporation or corporations which, individually or jointly, have an interest in that corporation of more than 25 %; or      4. exercises decisive influence in the corporation by other means   Decisive influence in a corporation shall be exercised by one who, at their own discretion, regardless on what legal basis, can directly or indirectly, through another person or legal arrangement, bring about a decision by the supreme body of the corporation that conforms to his will.  Decisive influence in a corporation is exercised by the controlling person under the law governing the legal relations of corporations.  Decisive influence in a corporation other than a business corporation, in a housing or social cooperative or in an investment fund in the legal form of a joint stock company with variable share capital is exercised by any natural person who is a member of their statutory body or a person in a similar position or a person representing the legal person in that body.   * + 1. is in the top management of the business corporation (a person who is responsible for the day-to-day management of the company or a direct member of the statutory body), provided that the beneficial owner cannot be identified even if every reasonable effort is made to require the registrant to do so, or if a legal entity that does not have a beneficial owner exercises decisive influence over the corporation;   1. in the case of a foundation, institute, public benefit corporation or legal arrangement, any natural person who exercises decisive influence therein      1. Decisive influence in a foundation, institute and public benefit corporation is exercised by a person who, at their own discretion, regardless of whether and on the basis of what legal fact, can directly or indirectly through another person or a legal arrangement make the decisions of the statutory or other governing body conform to his or her will.      2. Decisive influence over the management of a legal arrangement is exercised by one who, in his or her own discretion, regardless of whether and on the basis of what legal fact, can directly or indirectly through another person or legal arrangement, bring about that the decision-making of the trustee conforms to his or her will.   2. in a foundation, also any natural person:      1. who is its founder,      2. who is a member of its management or supervisory board or its auditor or a person in a similar position      3. whose personal support, according to the foundation's founding act, is its purpose;   3. in the case of an institute or a charitable company, also any natural person who is      1. its founder,      2. a director or member of its management or supervisory board or a person in a similar position;   4. in the case of a legal arrangement, also any natural person who is      1. its founder,      2. its trustee,      3. the person entitled to supervise the administration of the legal arrangement and who may appoint or remove a trustee or a ultimate beneficiary,      4. its ultimate beneficiary,      5. is among the persons in whose main interest the legal arrangement was established or is administered, unless it is the ultimate beneficiary | | | | | | | | | | | | | |
| **Other criteria:** | | | | | | | | | | | | | |
| **Purpose of conclusion of contract with provider\*\*:** | | |  | | | | | | | | | | |
| ++ Specify the purpose of the contract in full measure (e.g. payment of invoices to foreign business partners, payment from business activities, purchase of a car, real estate, etc.) | | | | | | | | | | | | | |
| **What is the approximately anticipated volume of your transactions via the provider over 12 months?** (v CZK) | | | | | | | | | |  | | | |
| **Approximately in which intervals will your transactions occur (monthly/daily)?** | | | | | | | | | |  | | | |
| **Declaration of origin of funds used for payment services via provider:**        - maintenance, benefits, pension        - salary, pay; please specify your employment        - income from trading of legal person / self-employed person; please specify trading activity:        - income from capital (dividends, shares of profit, leasing of real estate, inheritance etc.); please give names of companies  in which you have an interest and from which come your source of income from trading or capital:        - other, please specify: | | | | | | | | | | | | | |
| **\* Is the company controlled by another company?** | | | | | **Yes** | | | **No** | | | |  | |
| **Name:** | | | | |  | | | **Registered office:** | | | |  | |

*\* the designation serves only for the internal purposes of the provider*

The service recipient confirms that it has understood the content of this questionnaire, it has filled it in to the best of its knowledge, and in the event of any fundamental change of data given in this questionnaire, it will inform the Provider of this change without undue delay.

The service recipient takes due note that all the aforementioned information in the questionnaire serves the Provider for identification and checking of the client pursuant to Act No 253/2008 Coll., on Certain Measures against Money Laundering and Terrorism Financing, as amended, and if it answers any of the questions untruthfully or incompletely, the Provider is authorised to refuse to implement the transaction or enter into a trading relationship.

The service recipient signs to confirm that the data it gives is truthful, complete and undistorted. The service recipient takes note that it is liable for loss caused by the untruthful or incomplete completion of the questionnaire or breach of undertaking to inform the Provider.

The recipient of the service declares with his signature that the funds that will be used to provide the service do not come from criminal activity and are not used to finance terrorism and were acquired in accordance with legal regulations.

The service recipient declares that it has no branch, organisational element or business premises in a state that does not adequately or at all enforce measures against money laundering or terrorism financing.

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| In       date |  |
| **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Name:**  **Position:** |